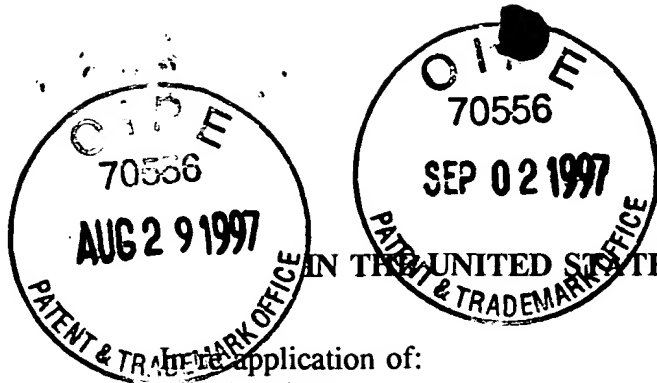


0360\$



**PATENT**

Docket No.: 35078.00005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Eiichi Ishiyama

Filed: March 14, 1997

Serial No.: 08/818,965

For: ULTRAVIOLET RAY  
IRRADIATION EQUIPMENT HAVING  
SCRAPER RINGS FITTED TO LIGHT  
TRANSMISSION TUBES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

**TRANSMITTAL LETTER**

Dear Sir:

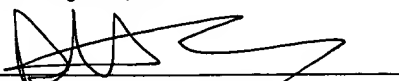
In response to the Notice To File Missing Parts Of Application, Filing Date Granted,  
mailed July 3, 1997, enclosed please find the following:

1. Copy of the Notice To File Missing Parts;

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: August 28, 1997

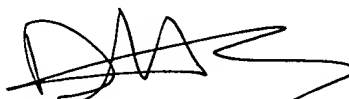
  
David L. Henty, Reg. No. 31,323

2. Check in the amount of \$65.00 to cover the filing fee for the Notice To File  
Missing Parts; and

3. Declaration and Power of Attorney signed by the inventor.

The Commissioner of Patents is authorized to charge any shortage in the fees due or credit any overpayment, to Deposit Account No. 07-1853. A duplicate copy of this letter is enclosed.

Respectfully submitted,



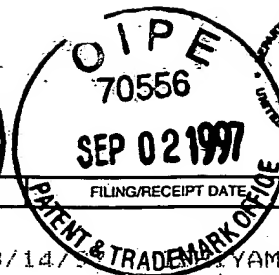
---

David L. Henty  
Registration No. 31,323  
Attorney for Applicant

Date: August 28, 1997

GRAHAM & JAMES LLP  
801 So. Figueroa St., 14th Fl.  
Los Angeles, CA 90017  
Telephone: (213) 624-2500

O:\USR\DHZ\AMD\362099.1



350780.5  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

08/29/97 03/14/97 YAMAHA E 35078.00005

0242/0703

DAVID L HENTY  
GRAHAM & JAMES  
801 S FIGUEROA STREET  
14TH FLOOR  
LOS ANGELES CA 90017-5554

NOT ASSIGNED

3405  
DATE MAILED:

07/03/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 65.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ large entity ☒ small entity (verified statement filed), is \$ 65.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

B.O. Chase A copy of this notice **MUST** be returned with the response.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202